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OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

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Α	Original _	Amendment

# U.S. House of Representatives 110<sup>th</sup> Congress

#### EMPLOYEE POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms publicly available as soon as possible after they are received. Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.

Name of Employee (print or type): William Carty
Name of Accompanying Family Member (if any): n/a
Relationship to Employee: Spouse Child Other (specify):
Date of Departure and Date of Return: August 17-21, 2009
Dates at Personal Expense:
Itinerary (cities of departure – destination – return): Washington DC - Aspen CO (via Denver CO)
- Washington DC
Sponsor(s) (who paid for the trip): The Progress and Freedom Foundation
Describe meetings and events attended (attach additional pages if necessary):  Please see the attached agenda.
Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):  1.   the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;  the Privately-Sponsored Travel Approval Form completed by the employee; and  the Committee on Standards' letter approving my participation on this trip.
I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):  If not, explain:  Attended only the "Kids, Media and Marketing" Working Dinner the evening of August 18
as a panelist. Attended all other events on agenda.

BARTON.

#### TRAVEL EXPENSES:

E. a. S. N. State and the special spec	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For employee:	\$763.39	\$897.00	\$300.00
For accompanying family member:		**************************************	Manufacture and the second sec

30 1 0 V V V	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For employee:		
For accompanying family member:	No. 10 No	

For employee:	
For accompanying family member:	
I certify that the information contained in this form knowledge.	is true, complete, and correct to the best of my
SIGNATURE OF EMPLOYEE:	DATE:
and that the travel was in connection with the appearance that the employee is using public office	
NAME OF SUPERVISING MEMBER:	Toe Barton, Kanking Momber
SIGNATURE OF SUPERVISING MEMBER:	Ox Barton DATE: 9-9-08
Version date 4/2007 by Committee on Standards of Official Conduct	/ Jan. 17408

STEPHANIE TUBBS JONES, OHIO CHAIRWOMAN

GENE GREEN, TEXAS GENE GREEN, IEAAS LUCILLE ROYBAL-ALLARD, CALIFORNIA MICHAEL F. DOYLE, PENNSYLVANIA WILLIAM D. DELAHUNT, MASSACHUSETTS WILLIAM V. O'REILLY.

CHIEF COUNSELISTAFF DIRECTOR DAWN KELLY MOBLEY COUNSEL TO THE CHAIRWOMAN

### ONE HUNDRED TENTH CONGRESS U.S. House of Representatives

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Washington, DC 20515-6328 July 23, 2008

DOC HASTINGS, WASHINGTON RANKING REPUBLICAN MEMBER

JO BONNER, ALABAMA J. GRESHAM BARRETT, SOUTH CAROLINA JOHN KLINE, MINNESOTA MICHAEL T. MCCAUL, TEXAS

TODD UNGERECHT COUNSEL TO THE RANKING REPUBLICAN MEMBER

SUITE HT-2, THE CAPITOL (202) 225-7103

Mr. William Carty Committee on Energy & Commerce 2322A Rayburn House Office Building Washington, DC 20515

Dear Mr. Carty:

Pursuant to House Rule XXV, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to Aspen, Colorado scheduled for August 17 to 20, 2008 sponsored by the Progress and Freedom Foundation.

You must complete an Employee Travel Disclosure Form (which your employing Member must also sign) and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including all attachments. You must also include a copy of the Traveler Form completed by you and signed by your employing Member. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of that statement.

If you have any further questions, please contact the Committee's Office of Advice

and Education at extension 5-7103.

Sincerely,

Stephanie Tubbs Jones

Chairwoman

Ranking Republican Member

STJ/DH:pvh

COMMITTEE ON STRANGE SO

#### U.S. House of Representatives Committee on Standards of Official Conduct

# PRIVATELY SPONSORED TRAVEL: TRAVELER FORM For Members, Officers and Employees (submit directly to the Committee)

This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.

Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

1.	Name of Member, officer or employee (traveler): William Carty
2.	Sponsor(s) (who will be paying for the trip): Progress and Freedom Foundation
3.	Travel destination(s): Aspen CO
4.	a. Dates of travel: August 17-20, 2008
	b. Will you be extending the trip at your personal expense? ■Yes ▼ No  If yes, dates at personal expense:
5.	a. Name of accompanying family member (if any):
	b. Relationship to Member/Officer: Spouse Child Other (specify):
6.	<ul> <li>a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No</li> <li>b. If yes, check one of the following: <ol> <li>Approval for one-night's lodging and meals is being requested: or</li> <li>Approval for two-nights' lodging and meals is being requested: If "(2)" is checked, explain why the second night is warranted:</li> </ol> </li> </ul>

7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):

on-line privacy, b	pehavioral advertising and telecommunications
Knowledge.  Signature:	information contained in this form is true, complete, and correct to the best of my matory (if other than traveler): William Carty
For staff, nar	me of employing Member/Committee: Committee on Energy and Commerce
Office address:	2322 A Rayburn House Office Building, Washington DC 20515
Phone number:	202-225-3641

NOTE: You must complete the contact information fields above, as Committee staff may need contact you if additional information is required.

## FOR STAFF: TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

Date: 7/23/08

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct U.S. House of Representatives HT-2, The Capitol Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (fax)

Version date 9/2007 by Committee on Standards of Official Conduct

#### U.S. House of Representatives Committee on Standards of Official Conduct

# PRIVATE SPONSOR TRAVEL CERTIFICATION FORM (provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1.	Sponsor(s) (who will be paying for the trip):  The Progress & Freedom Foundation				
2.	<ol> <li>I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyis registered foreign agent (signify "yes" by checking box):</li> </ol>				
3.	I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):				
4.	Is travel being offered to an accompanying family member of the House invitee(s)?  Yes  No				
5.	Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary):  See attached sheet. Each attendee is being invited for their interest, legislative responsibility and expertise in digital economy policy issues.				
6.	Dates of travel: Sunday, August 17, 2008 through Wednesday, August 20, 2008				
7.	Cities of departure – destination – return: Washington, DC - Aspen - Washington, DC				
8.	Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description o planned activities) (signify "yes" by checking box):				
9.	I represent that (check one of the following):				
	a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965:   Output  Description:				
	<ul> <li>The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent</li> <li>or</li> </ul>				
	c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was de minimis under the Committee's travel regulations.				
10.	If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following: a. One-night's lodging and meals are being offered: $\Box$ or				
	b. Two-nights' lodging and meals are being offered:   If "b" is checked, explain why the second night is warranted:				

11.	If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
12.	Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: The Progress & Freedom
	Foundation has independently conceived and organized its Aspen Summit each year since 1995.
13.	Describe each sponsor's organizational interest in the purpose of the trip:  The Progress & Freedom Foundation has been hosting its annual Aspen Summit to bring together academics, policymakers and business decision
	makers to discuss and debate emerging issues in the digital world as part of its study of the Digital Revolution.
14.	Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: House staff members attending will be provided with coach class commercial
	airfare.
15.	I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):
16.	I represent that either (check one of the following):
	a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees:
	b. The trip involves events that are arranged or organized specifically with regard to congressional participation:  If "b" is checked, detail the cost per day of meals (approximate cost may be provided):
17.	Reason for selecting the location of the event or trip:  The Progress & Freedom Foundation has hosted its Aspen Summit in Aspen, CO since 1995.
18	Name of hotel or other lodging facility: The St. Regis Aspen
19.	Cost per night of hotel or other lodging facility (approximate cost may be provided): Cost is \$299 plus applicable taxes per night.
20.	Reason(s) for selecting hotel or other lodging facility: The St. Regis Aspen has been the home of the Aspen Summit since its inception.

#### 21. TOTAL EXPENSES FOR EACH PARTICIPANT:

☐ actual amounts ☐ good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$750	\$299 plus tax per night	\$300
For each accompanying family member	N/A	N/A	N/A

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	None expected	
For each accompanying family member	N/A	N/A

- 22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):
- 23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: All hwy	
Name and title: Allison Bringaroner, Director of Events	
Organization: The Progress & Freedom Foundation	
Address: 1444 Eye St NW, Suite 500, Washington, DC 20005	
Telephone number: 202-289-8928	
Fax number: 202-289-6079	
Email Address: abringardner@pff.org	

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct U.S. House of Representatives HT-2, The Capitol Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

(202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

### The following is a list of all House invitees:

Marc Berkman Monica Fawzy Philip Tahtakran Stacey Dansky Perry Apelbaum Ellen McLaren David Whitney Blaine Merritt John Mautz Branden Ritchie Matt Iandoli Karas Pattison Jason Scism S. Brenna Findley Elizabeth Stack Christal Sheppard Julia Massimino Eric Garduno Michone Johnson Shanna Winters Neil Fried Courtney Reinhard Shannon Weinberg Gregg Rothschild Colin Crowell Johnathan Cordone David Cavicke Nick Shipley Kenneth DeGraff Lars Hydle Megan Garcia Dana Lichtenberg Jay Hulings Lori Pepper Jonathan Levenshus Jennifer Schneider Leo Munoz Tiffany Guarascio Christian Field Jared Weaver Ernesto Falcon Brian Skretny Vince Jesaitis

Laura Marsh

Shannon Good Theresa Lavery Tim Powderly Mark Seifert Maureen Flood Shana Chandler Will Carty Cade Clurman Chris Herndon Paul Cancienne Greg Orlando Greg Louer Matt Mandel Scott Cleveland Pete Obermueller Ryan Tracy Joe Moser Jonathan Taets Valerie Henry Brad Schweer

#### CONFIDENTIAL DISCUSSION DRAFT



Aspen Summit 2008 Unlocking Innovation-Has the Key Been Misplaced?

#### Agenda

#### Sunday, August 17

6:00 p.m.

Reception

6:45 p.m.

Welcome Remarks

Ken Ferree, President, The Progress & Freedom Foundation

7:00 p.m.

Opening Keynote Address

 John Horrigan, Associate Director, Research, Pew Internet & American Life Project

#### Monday, August 18

8:30 a m

Welcome Remarks

 George A. Keyworth, Chairman, The Progress & Freedom Foundation

8:45 a.m.

Keynote Address

9:30 a.m

Panel Discussion: Should We Deputize the Middleman? New

**Debates about Intermediary Liability** 

Adam Thierer, Senior Fellow and Director of the Center for Digital Media Freedom, The Progress & Freedom Foundation (moderator)

11:00 a.m.

Panel Discussion: Copyright Enforcement Online: Can We Do Better?

• Tom Sydnor, Senior Fellow and Director of the Center for the Study of Digital Property, The Progress & Freedom Foundation (moderator)

12:30 p.m.

Luncheon Panel Discussion: Online Advertising, Behavioral Marketing & Privacy

Berin Szoka, Visiting Fellow, The Progress & Freedom Foundation (moderator)

6:00 p.m.

Reception

7:00 p.m. Informal Working Dinners

- Kids, Media & Marketing: Child Safety & Privacy in a Web 2.0
   World
- China: A Challenge of Olympic Proportions
- Patent Reform: Where We Are, and Where We Might Go
- Spectrum Policy: White Spaces and Beyond

#### Tuesday, August 19

8:30 a.m. Plenary Address

 Richard W. Fisher, President and CEO, Federal Reserve Bank of Dallas

9:15 a.m. Panel Discussion: The Innovation Imperative: Engage or Retreat?

 Bret Swanson, Senior Fellow and Director of the Center for Global Innovation, The Progress & Freedom Foundation (moderator)

10:45 a.m. Keynote Address:

Patrick J. Esser, President, Cox Communications Inc.

11:30 a.m. Panel Discussion: Next Generation Network Policy – Here and Abroad

 Barbara Esbin, Senior Fellow and Director of the Center for Communications and Competition Policy, The Progress & Freedom Foundation (moderator)

12:30 p.m. Luncheon Panel Discussion

 Ambassador David A. Gross, United States Coordinator for International Communications & Information Policy, U.S. Department of State (moderator)

6:00 p.m. Chairman's Reception

7:00 p.m. Chairman's Dinner & Address

### Committee on Energy and Commerce Advance Authorization of Employee Travel

Date: 7/23/08

**Budget:** Off Budget: XX Name of Sponsor: Progress and Freedom Foundation Approval is requested for travel by the following: Staffer: William Carty Purpose: PFF Aspen Summit 2008 Dates of Event: 8/17-19, 2008 Dates of Travel: 8/17-20, 2008 Location of Event: Aspen CO Approximate Cost: Per Diem: \$1,200 Transportation: \$750 Rental Car (Y) Other (Taxi, Parking): Total Approximate Cost: \$1,950 Comments: Travel History How many ON BUDGET trips have you take in 2008? How many OFF BUDGET trips have you take in 2008?

I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Approved in accordance with the Rules of the House and the Ethics Reform Act of 198

Joe Barton
Ranking Member

John D. Dingell Chairman